

LOBBYIST FILING REQUIREMENTS **FREQUENTLY ASKED QUESTIONS**

Who is required to file a Lobbyist Registration form with the Board of Ethics and Government Accountability?

You are required to file a Lobbyist Registration form, and pay the applicable fee, if you:

- a) Receive compensation in an amount of \$250 or more in any 3-consecutive-calendar month period for lobbying;
- b) Receive compensation from more than one source in an aggregate amount of \$250 or more in any 3-consecutive-calendar-month period for lobbying; or
- c) Expend funds in an amount of \$250 or more in any 3-consecutive-calendar-month period for lobbying.

All independent lobbyists, lobbying entities who employ lobbyists, and persons who have others lobbying on their behalf that meet the requirements listed above must submit a Lobbyist Registration form to BEGA.

What is “lobbying”?

Under the Ethics Act, “Lobbying” is communicating directly with any official in the legislative or executive branch of the District government with the purpose of influencing any legislative action or an administrative decision.

However, the term “lobbying” does not include:

- a) The appearance or presentation of written testimony by a person on his or her own behalf, or representation by an attorney on behalf of any such person in a rulemaking (which includes a formal public hearing), rate-making, or adjudicatory hearing before an executive agency or the Tax Assessor;
- b) Information supplied in response to written inquiries by an executive agency, the Council, or any public official;
- c) Inquiries concerning only the status of specific actions by an executive agency or the Council;
- d) Testimony given before the Council or a committee of the Council, during which a public record is made of such proceedings or testimony submitted for inclusion in such a public record;
- e) A communication made through the instrumentality of a newspaper, television, or radio of general circulation, or a publication whose primary audience is the organization's membership; and
- f) Communications by a bona fide political party.

Who does not have to register as a lobbyist?

The following people are **not** required to submit a Lobbyist Registration form with BEGA:

- a) A public official, or an employee of the United States acting in his or her official capacity;

- b) A publisher or working member of the press, radio, or television who, in the ordinary course of business, disseminates news or editorial comment to the general public;
- c) A candidate, member, or member-elect of an Advisory Neighborhood Commission; or
- d) Civic leagues or organizations and municipal associations which are devoted exclusively to charitable, educational, or recreational purposes, whose activities do not consist of lobbying, the result of which shall inure to the financial gain or benefit of the entity.

Why do I need to complete both a Lobbyist Registration form and a Lobbyist Activity Report?

The intent of the two forms is different. The Lobbyist Registration form alerts BEGA to your intention to engage in lobbying activities in the District of Columbia or the fact that you have reached the \$250 threshold referenced above, and allows BEGA to input your information into the Lobbyist database.

The Lobbyist Activity Report discloses all lobbying activity for the prior six-month period. The form informs BEGA of your specific lobbying activities and expenditures, including, what types of communications you are engaging in, with whom, the reasons for the communications, on whose behalf and for what purpose, and the funds expended.

How do I submit my Lobbyist Forms?

Electronically

You are encouraged to electronically file the Lobbyist Registration form and Lobbyist Activity Reports, and pay the registration fee on our website at: <https://efiling.bega-dc.gov/efs/login.aspx>. Your user ID, password, and PIN for the system should have been provided in an email from BEGA. If you did not receive this information please contact BEGA at bega@dc.gov or 202-481-3411.

If you cannot remember your password you are able to retrieve it on the e-filing website login page.

The PIN number allows you to certify your final submission when you file electronically so that you are not required to submit a signed hard copy of the forms.

Lobbyist Activity Reports and Lobbyist Registration forms are considered timely if all forms are filed and fees paid by midnight on the date due.

Hard Copy

You may file a hard copy of your Lobbyist Registration Form, along with a check for the registration fee, made out to the D.C. Treasurer, and any Lobbyist Activity Reports, Campaign Contribution forms, or Termination forms with BEGA at:

Board of Ethics and Government Accountability
441 4th Street, N.W., Suite 830 South
Washington, D.C. 20001

What do I do if I want to file electronically and I did not receive electronic login information and a PIN number?

You may contact BEGA at (202) 481-3411 or bega@dc.gov and a member of the staff will provide you with your login information.

If you forget your password, there is a link on the electronic filing homepage to have it sent to you.

Regarding the Lobbyist Registration Form and the Lobbyist Activity Report, who is the Registrant?

If you are an independent lobbyist, you are the Registrant. If you are a lobbying entity (i.e. lobbying firm) who employs lobbyists, you are the Registrant. If you are a client who compensates a lobbyist or lobbying firm in the amount of \$250 or more in a 3-consecutive calendar month period for lobbying, you are the Registrant. This means that if you are a business, agency, or other entity that employs lobbyists, the Registrant Name should be the name of your agency or entity, **not** the name of the lobbyist; individual lobbyists working for lobbying entities do **not** need to submit individual Lobbyist Registration Forms.

Please also note that the Registrant Name should appear the **EXACT SAME WAY** on all forms.

You disclose the name of each lobbyist working for a lobbying entity or a client under Question 2 on the Lobbyist Registration Form.

How much is the Lobbyist Registration fee?

The Registration fee is \$250.

Unless, you are a nonprofit organization, or you lobby **solely** for nonprofit organization, in which case the filing fee is \$50. Please note that this only applies to nonprofits or lobbyists who lobby **only** for nonprofits. For example, if you lobby for 9 nonprofits and one for-profit entity, you are required to pay the \$250 registration fee for **each** client, even the nonprofits. The nonprofits would pay \$50 for their own registration.

When are Lobbyist Registration forms due?

Lobbyist Registration forms must be submitted to BEGA by January 15th of each year; or no later than 15 days after becoming a Lobbyist (see definition of “Lobbyist” above).

If you fail to register on time you will be subject to a civil penalty of \$10 per day up to 30 days past the deadline. (See, DC Official Code § 1-1162.32(c)).

If I realize that I should have filed a Lobbyist Registration form or Lobbyist Activity Report, but failed to do so, what can I do to remedy that?

File as soon as possible. If you have just begun lobbying and are within the 15 days referenced above, you are likely not subject to a fine for failing to register. However, you should contact BEGA to ascertain if there are any outstanding fines for failure to timely file a Registration form or Activity Report.

If I want to file the Lobbyist Registration form electronically, can I pay the required registration fee electronically?

Yes. You can pay with a credit card at the end of the filing process. After payment is completed you will see a receipt on the screen and a receipt will be emailed to the address you provided.

When are Lobbyist Activity Reports due?

Lobbyist Activity Reports are due twice a year:

January 10—Covers Reporting Period July 1 to December 31

July 10—Covers Reporting Period January 1 to June 30

IMPORTANT CHANGE: Because January 10th falls on a Saturday in 2015, the filing deadline has been extended to Monday January 12, 2015.

If you fail to file on time you will be subject to a civil penalty of \$10 per day up to 30 days past the deadline. (See, DC Official Code § 1-1162.32(c)).

How do I calculate expenditures?

Expenditures are reported on a cash basis, meaning Registrants report the expenditure at the time the lobbyist is compensated.

Regarding Schedules A and A-1 of the Lobbyist Activity Report, do I need to provide receipts to BEGA?

Pursuant to DC Official Code § 1-1162.30(b), as a Registrant, you are required to preserve all accounts, bills, receipts, books, papers and documents used to substantiate the information provided in the Activity Report for five years from the date that you filed the Report. You do not have to provide them with your report, but these documents must be made available to the Director of the Office of Government Ethics if requested with reasonable notice.

What is meant by “terms of compensation” for Question 4 on the Lobbyist Registration Form?

If the lobbyist is a salaried employee and is not receiving extra compensation for his lobbying duties, listing “salary” is fine. However, the Registrant must be able to provide that information upon request from BEGA. If the Registrant charges by the hour, the hourly rate should be listed. If the Registrant pays a flat fee, list the flat fee. If the Registrant is on retainer, listing “Retainer” is fine. If the compensation arrangement involves two or more methods of compensation, all methods must be listed.

I am a lobbyist and made a contribution to an inaugural committee and/or a transition committee for an Executive Branch official. Does this need to be reported on the Lobbyist Activity Report? If so, where?

Yes, pursuant to the Ethics Act, you must disclose each political expenditure, loan, gift, honorarium, or contribution of \$50 or more made by the registrant or anyone acting on behalf of the registrant to benefit an official in the legislative or executive branch.

If the contribution was made on behalf of a client, the contribution must be reported on Schedule A-2 of your Lobbyist Activity Report and your client's Lobbyist Activity Report. If the contribution was NOT made on behalf of a client, the contribution must be reported on Schedule C: Campaign Contributions, an additional form.

What do the terms "business relationship" and "professional services relationship" mean?

"Business relationship" means a relationship established to provide for business services.

"Professional services relationship" means a relationship where the functions are infrequent, technical or unique and, primarily performed by independent contractors or by consultants whose occupation is the rendering of such services.